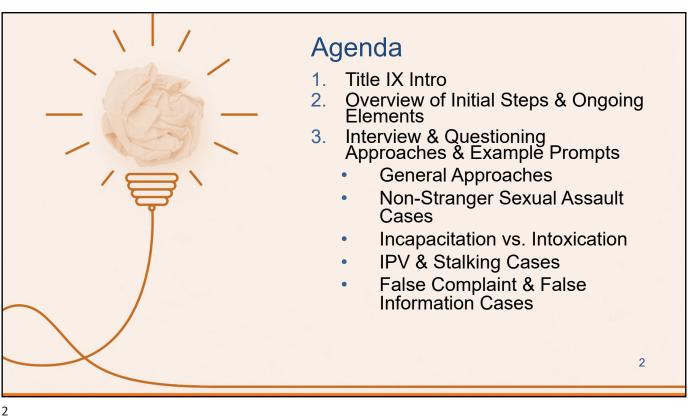
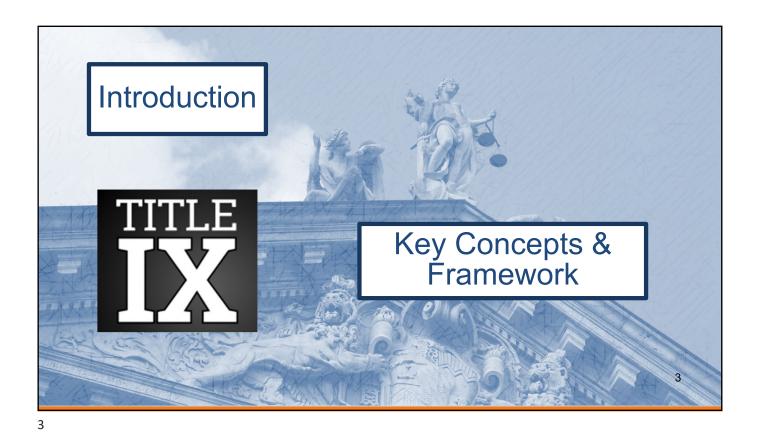


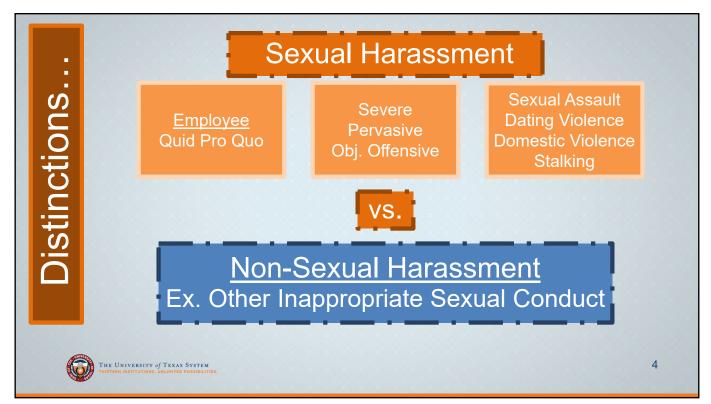
Krista Anderson, Systemwide Title IX Coordinator Sean Flammer, Assistant General Counsel

Spring 2023

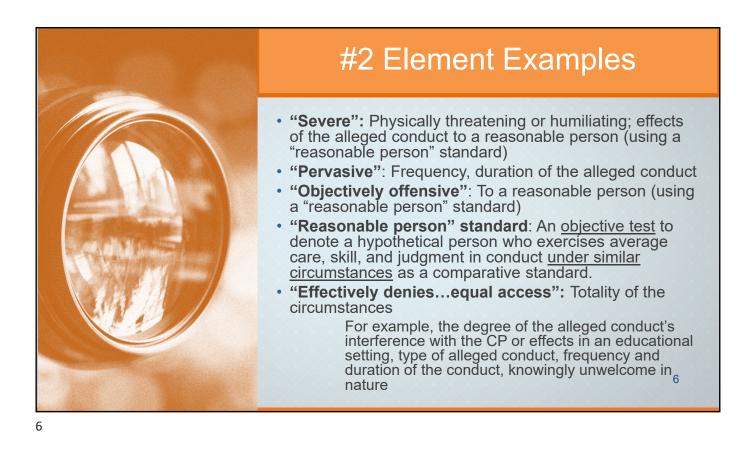
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"Education program or activity" under Title IX

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Includes <u>locations</u>, <u>events</u>, <u>or</u> <u>circumstances</u> over which the institution exercises **substantial control** over both the respondent and the context in which the alleged sexual harassment occurs, and also includes <u>any building owned or</u> <u>controlled by a student organization</u> that is officially recognized by the institution.

 Example of a "building owned or controlled by a student organization": Fraternity or sorority house that is occupied by students of the organization, and the student organization is a recognized organization with the institution.

Source: Title IX Regulations (2020)

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Definition of "Other Inappropriate Sexual Conduct" Conduct on the basis of sex that does not meet the definition of "sexual harassment" (under the Model Policy), but is prohibited inappropriate or unprofessional sexual conduct.

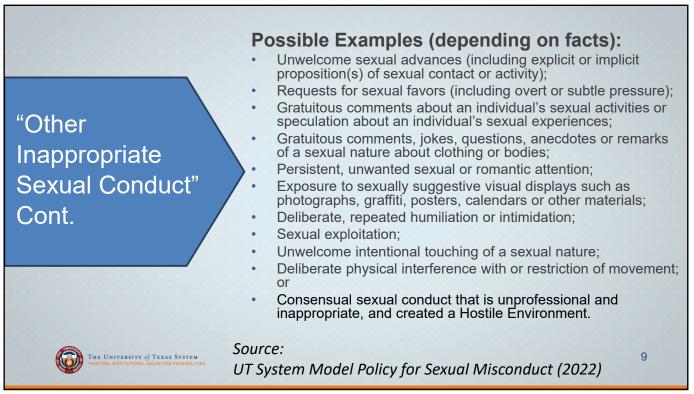
Such conduct is:

- Verbal conduct (including through electronic means), unwanted statements of a sexual nature intentionally stated to a person or group of people, that are objectively offensive to a reasonable person and also so <u>severe or pervasive</u> that it created a Hostile Environment.
- Physical conduct that is objectively offensive to a reasonable person and also so <u>severe or</u> <u>pervasive</u> that it created a Hostile Environment.

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Source:

UT System Model Policy for Sexual Misconduct (2022)



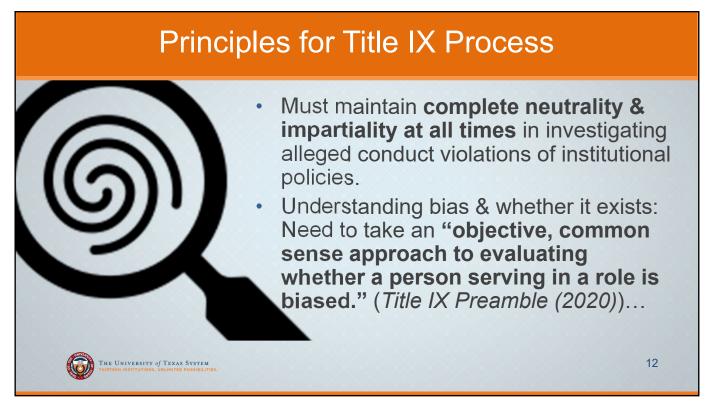
Key Pillars: Title IX Process

Impartiality	Respect	For all of the participants in the process: Complainants
Fairness	Equity	Respondents Witnesses Third-party Reporters
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Serving Impartially in Your Role

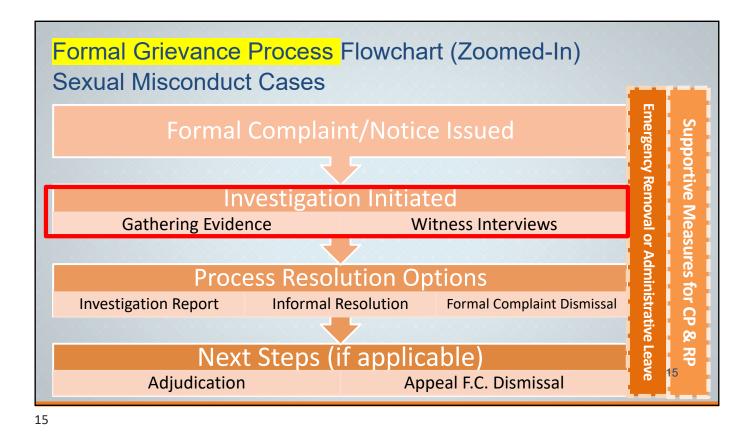
- Must avoid **prejudgment** of the facts at issue
- Must avoid conflicts of interest
- Must avoid bias







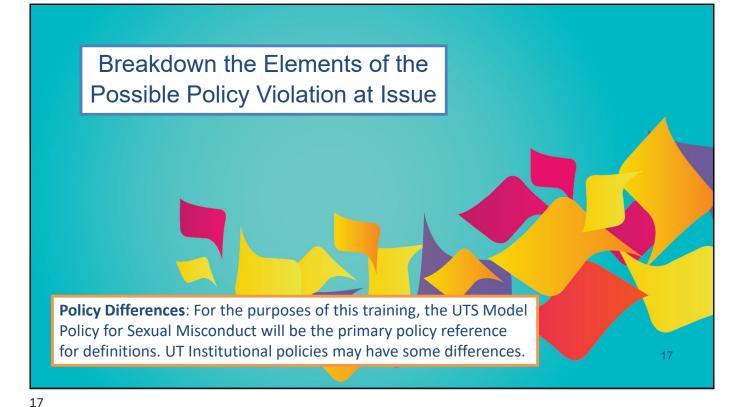


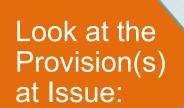


Investigative Framework

- Establish facts & timeline(s).
- Understand each party's perception & experiences of the alleged incident(s).
- Elicit details & descriptions of the alleged incident(s) from the parties & witnesses.
- Address disputed facts or conflicting evidence (if any) & seek responses from the parties (if applicable).
- Gather **sufficient information available** for a determination of facts, importance, & relevance to the formal complaint.







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Engaging in a course of conduct directed at a specific person that would cause a reasonable person to fear for his or her safety or the safety of others or suffer substantial emotional distress.

For the purposes of this definition:

- **Course of conduct** means two or more acts, including, but not limited to, acts in which the stalker directly, indirectly, or through third parties, by any action, method, device, or means, follows, monitors, observes, surveils, threatens, or communicates to or about a person, or interferes with a person's property.
- Reasonable person means a reasonable person under similar circumstances and with similar identities to the victim.
- **Substantial emotional distress** means significant mental suffering or anguish that may, but does not necessarily, require medical or other professional treatment or counseling.





Basis for a "Trauma-Informed" Approach

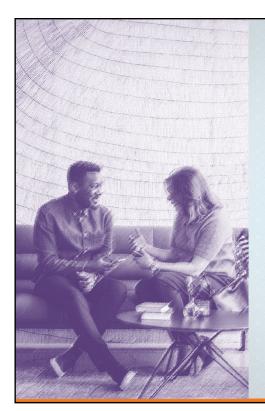
- A. Encourages <u>all participants</u> to share what they are able to recall about their experience without demanding chronological recall; and
- B. Facilitates the gathering of information in a <u>balanced</u> manner from all individuals



Interview Notice

- Date, time, & location of the interview meeting
- Names of the invited or expected meeting participant(s)
- Purpose of the meeting or investigative interview
- Opportunity to present any information, evidence, and/or witnesses relevant to the formal complaint.
- An advisor of choice may attend, though not required.





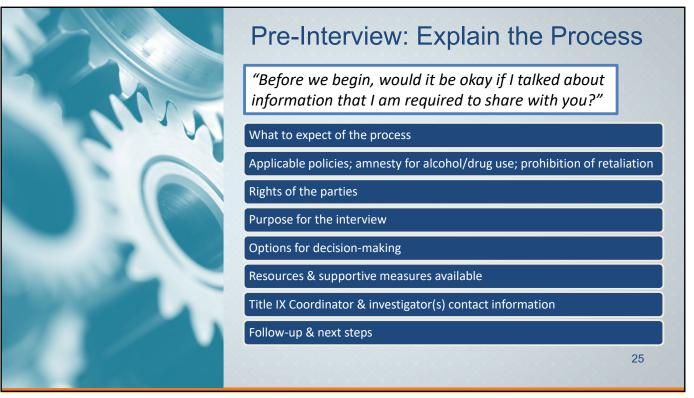
Pre-Interview: Rapport-Building Prompts

- "Help me understand how you are feeling right now."
- "What, if anything, can I explain to you about this process before we get started?"

Note: Consider possible **barriers** or **concerns** to building trust with a participant.

• How can you minimize or eliminate these factors?

Source: Forensic Experimental Trauma Interview (FETI)

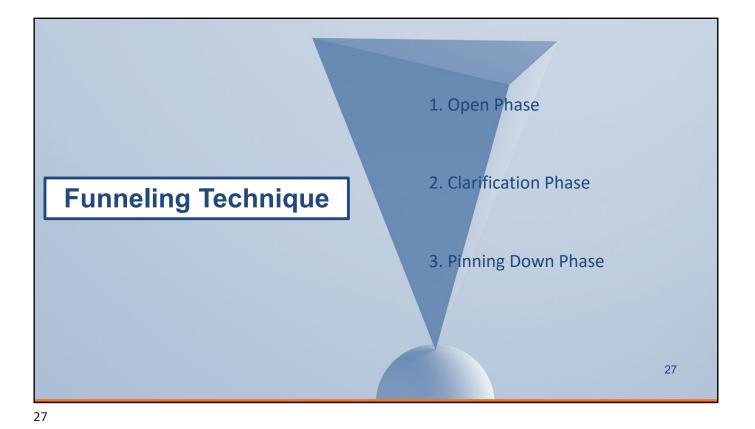


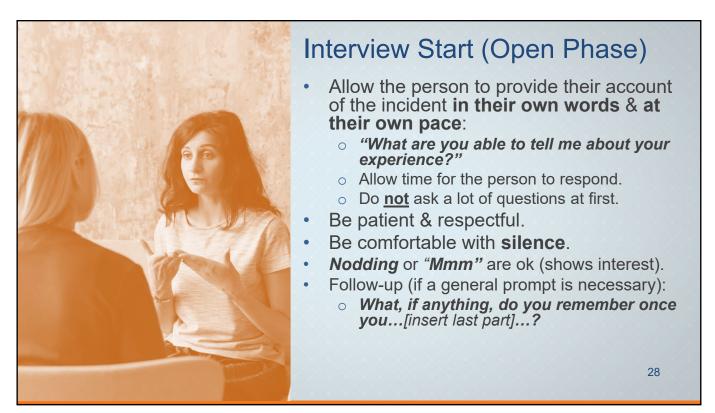


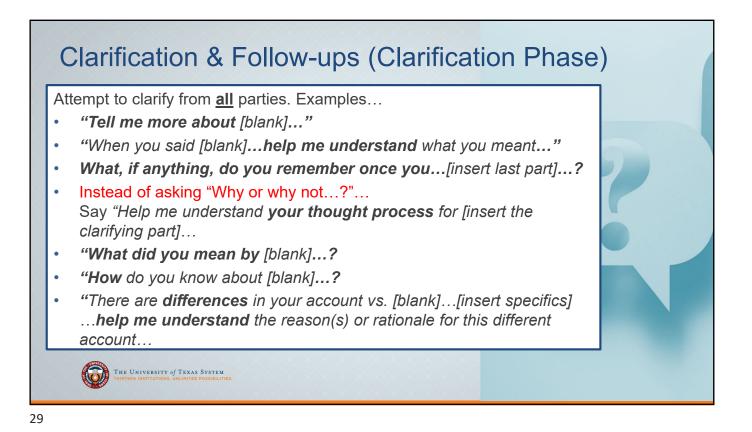


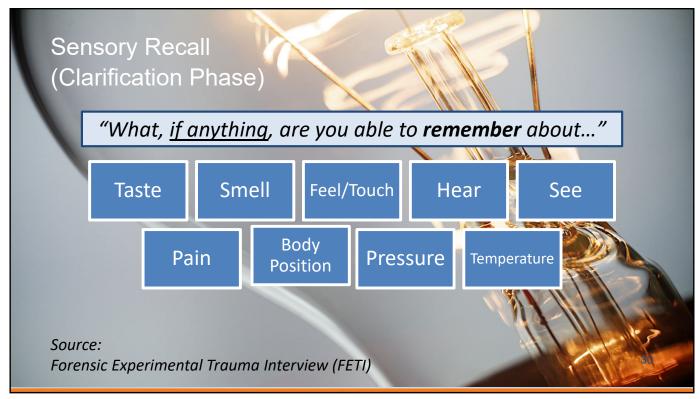
Body Language & Nonverbal Cues

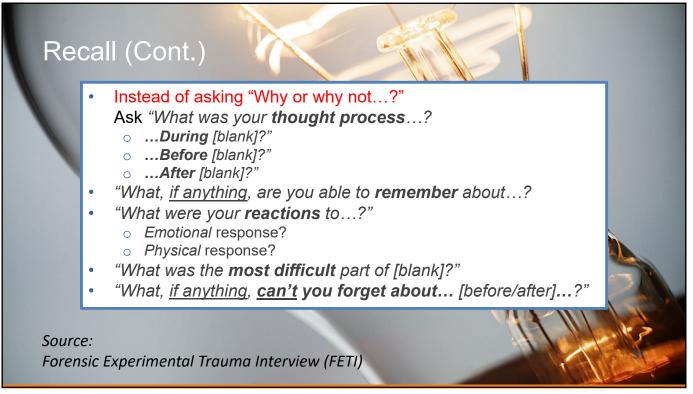
- **Small** head nods (periodic, not overly animated)
- **Eye contact** (be attentive, soften eyes)
- Facial expressions (show genuine interest, avoid emotional movements/reactions)
- Open body language (limit crossed arms or legs)
- Focus on your breathing (mental mindfulness)
- Take pauses between questions/cues (pace yourself)
- Listen with your eyes and ears
- Check-in (when appropriate):
 "Help me understand how you are feeling right now."









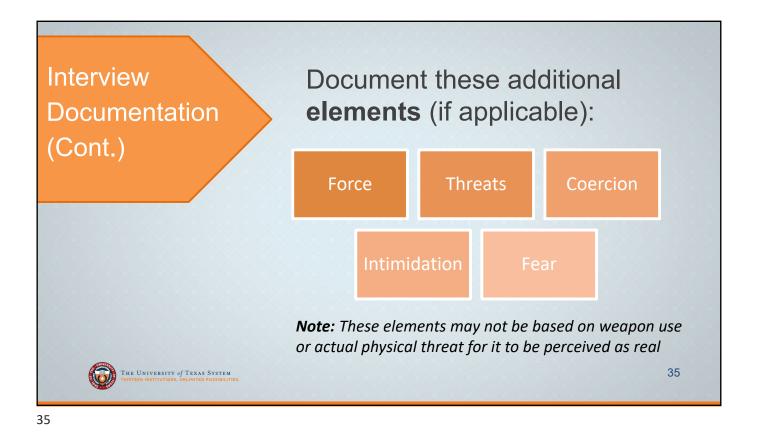


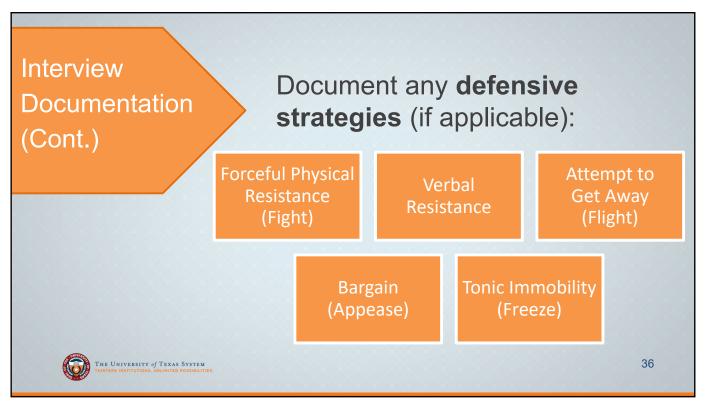
Туре	Example Statemer	Example Statements	
Text Bridges	 After that The next thing I knew Later on 	 Afterwards Besides And then Finally 	Clarification prompt
Ambiguous Responses	 Kind of I think Sort of I believe 	 To the best of my knowledge I may have Maybe 	Clarification or recall prompt
Broad Statements	• Always	• Everyone	Clarification prompt; specific examples?
Hearsay	• I heard from Person	• I heard from Person X that	

Misc.	Interview	Prompts	(Pinning	Down	Phase)
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Example Interview Prompts	Purpose of the Prompt
<i>"Let me give you this information so that you can respond…"</i>	Provides an opportunity to respond to other testimony, statements, or evidence.
"It's been reported that you said X, Y, and Z."	Responding to a denial; corroboration.
"What's the reason that Person X said/did [blank] with this specificity, if you didn't?"	Responding to a denial; corroboration.
"Others have reported you said [blank]. What's the reason (or rationale) for considering that you didn't?"	Responding to "I don't recall"; corroboration; credibility
<i>"Is there anything else that you'd like to add to this statement?</i>	Provides an opportunity to respond; gather information not explicitly asked about.

Interview
DocumentationDocument the psychological
& physical responses of the
experience (if applicable):NauseaFlashbacksTremblingMuscle
RigidityTerrorMemory
BapsSensory
RecalInjuries

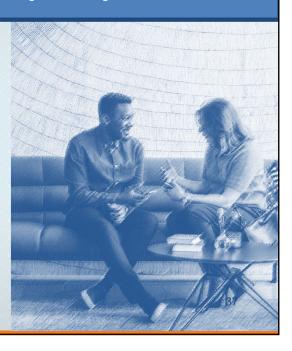


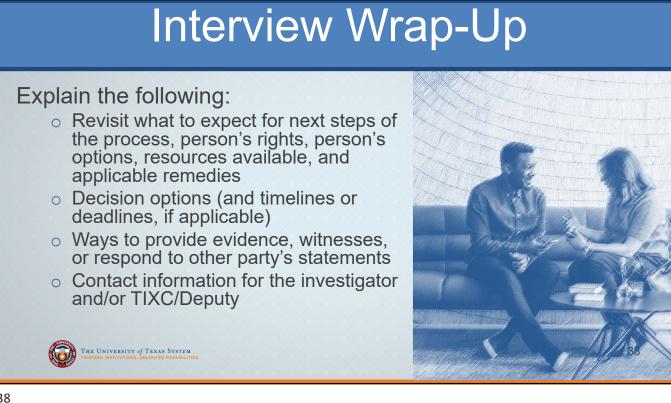


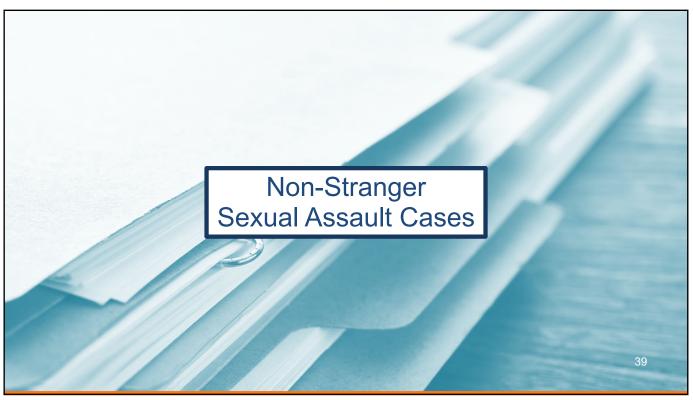
Interview Wrap-Up

Show appreciation: "I really appreciate you being willing to speak with me."

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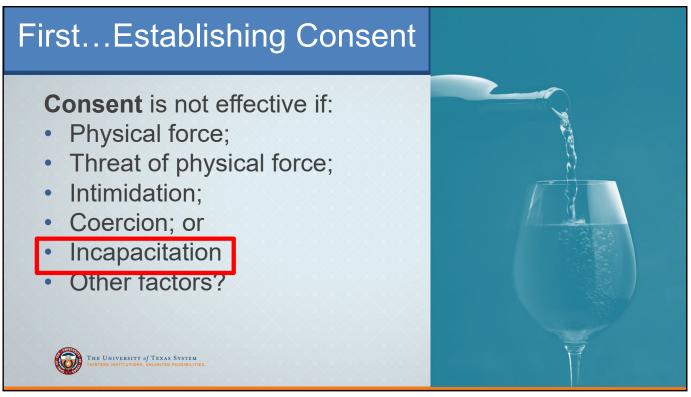


Consent Definition A voluntary, mutually understandable agreement that clearly indicates a willingness to engage in each instance of sexual activity. Consent to one act does not imply consent to another. Consent to engage in sexual activity with one person does not imply consent to engage in sexual activity with another. Consent can be withdrawn at any time. Any expression of an unwillingness to engage in any instance of sexual activity establishes a presumptive lack of consent. <u>Consent is not effective if it results from</u>: (a) the use of physical force, (b) a threat of physical force, (c) intimidation, (d) coercion, (e) incapacitation or (f) any other factor that would eliminate an individual's ability to exercise his or her own free will to choose whether or not to have sexual activity. A current or previous dating or sexual relationship, by itself, is not sufficient to constitute consent. Even in the context of a relationship, there must be a voluntary, mutually understandable agreement that clearly indicates a willingness to engage in each instance of sexual activity. Source: THE UNIVERSITY of TEXAS SYSTEM UT System Model Policy for Sexual Misconduct 40

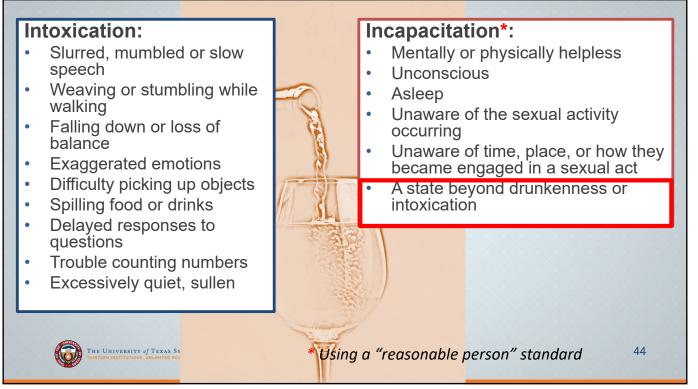
Consent (Example Questions/Considerations)

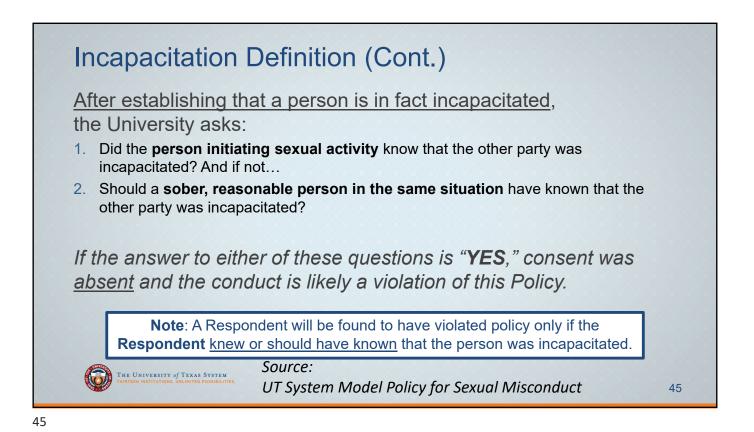
- Refer to the definition of "consent" based on the institution's policy.
- What was the nature, timing, & scope of the relationship btwn the parties?
- What were each party's **expectations** (e.g. perceived, communicated), about the **nature of the contact** on the date of the alleged incident?
- What was the manner of communication **before**, **during**, **& after** the alleged incident (e.g. words & actions) btwn the parties?
- What were the circumstances of the CP's disclosure (of a possible sexual assault) & the RP's reaction to the disclosure?
- Who initiated the sexual activity (that is at issue in the allegation(s))? How so, or in what way?
- What was the **impact** of alcohol or other drug use in relation to the **ability to give consent**?
- Are there any reported or demonstrated predatory behaviors?
- For RP (if applicable): What words or actions by CP indicated consent to sexual activity?

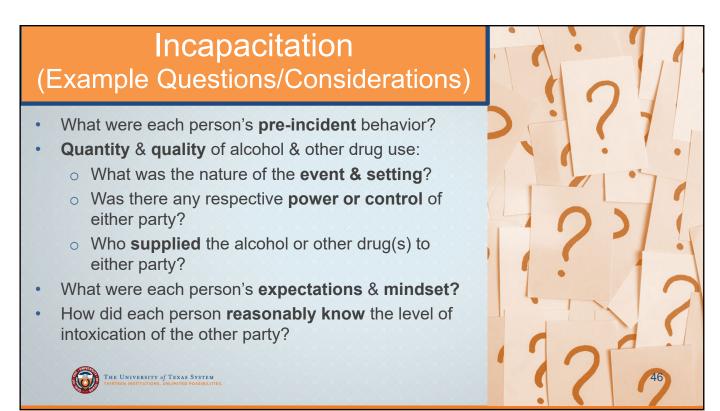
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Incapacitation Definition Incapacitation is the inability, temporarily or permanently, to give consent because the individual is mentally and/or physically helpless, either voluntarily or involuntarily, or the individual is unconscious, asleep, or otherwise unaware that the sexual activity is occurring. An individual may be incapacitated if they are unaware at the time of the incident of where they are, how they got there, or why or how they became engaged in a sexual interaction. When alcohol is involved, incapacitation is a state beyond drunkenness or intoxication. When drug use is involved, incapacitation is a state beyond being under the influence or impaired by use of the drug. Alcohol and other drugs impact each individual differently, and determining whether an individual is incapacitated requires an individualized determination. Source: THE UNIVERSITY of TEXAS SYSTEM UT System Model Policy for Sexual Misconduct 43







Incapacitation (Cont.) (Example Questions/Considerations)

- How did each person know, if at all, where they were going, how they got there, what they were doing (actions/words), and/or what was going on around them (understanding the environment)?
- How was consent given or communicated?
- Is there any information from **witnesses**, **video footage**, etc. as to the level of incapacitation?
- What were each person's **post-incident** behavior?



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Intimidation Definition

Unlawfully placing another person in reasonable fear of bodily harm through the use of threatening words and/or other conduct, but without displaying a weapon or subjecting the victim to actual physical attack.



Source: UT System Model Policy for Sexual Misconduct

Coercion Definition

The use of unreasonable pressure to compel another individual to initiate or continue sexual activity against an individual's will. Coercion can include a wide range of behaviors, including psychological or emotional pressure, physical or emotional threats, intimidation, manipulation, or blackmail that causes the person to engage in unwelcome sexual activity. A person's words or conduct are sufficient to constitute coercion if they eliminate a reasonable person's freedom of will and ability to choose whether or not to engage in sexual activity.



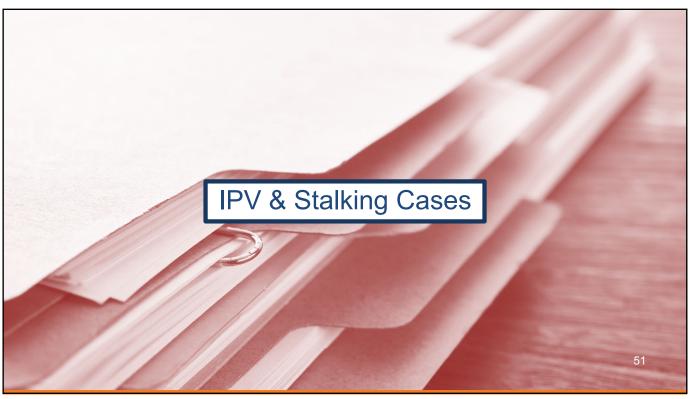
Source: UT System Model Policy for Sexual Misconduct (2022)

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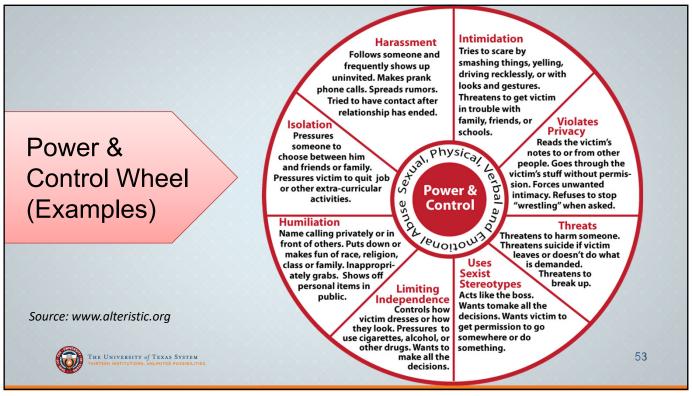
Coercion (Example Questions/Considerations)

- If the CP alleges **coercion**: What does "coerced" mean to you?
- Describe what "coercion" is feels like to you...looks like to you...
- At the time of the alleged incident, did the RP **ask for** or **attempt to initiate** sexual activity? If yes, how <u>many times</u>? Over what <u>period of time</u>? **How** did the RP ask or initiate engaging in the sexual activity?
- At the time of the alleged incident, what did you think might happen if you **refused** or **said** "**no**" to the sexual activity?
- In addition to the "repeated asks" [or *insert specific description*] to sexual activity, **what else**, if anything, was going on at that time?
- Were there any "threats"? If yes, <u>what</u> were the threats expressed? <u>How</u> was the threat expressed to you? Describe the context of the "threats." Were there consequences expressed, if noncompliant?

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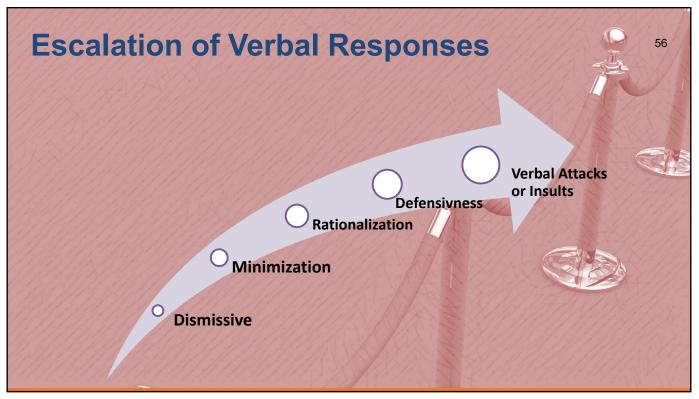


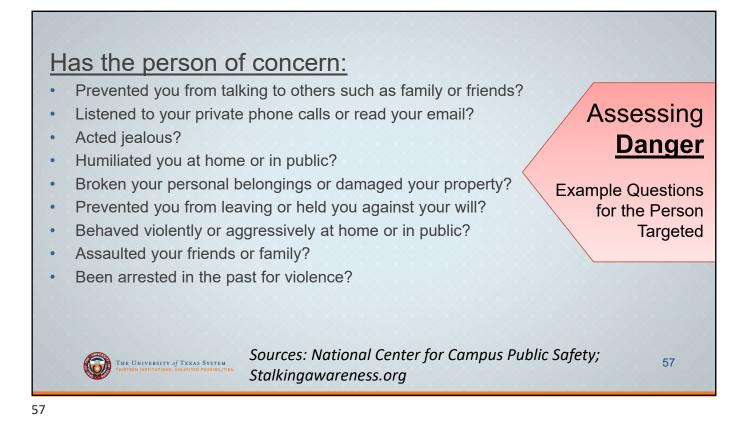
Examples of Predatory Behaviors

- Tests the boundaries of the prospective victim.
- Uses grooming tactics: Isolation of the prospective victim, trust building, gift giving, have "secrets" between the perpetrator & victim.
- Plans and premeditates assaults.
- Uses "**psychological**" weapons power, control, manipulation, threats*.
- Uses **alcohol** and/or **drugs** as a weapon to incapacitate a prospective victim.

Note: "Threats" may not be threats of violence

ype of esponse	Example Statements	
Dismissive	 I <u>never</u> said/did that. <u>Your</u> memory is bad. I don't remember it that way. 	 That didn't happen. [or] That's not what happened. I have no idea what you are talking about.
Minimizing	 It's <u>only</u> a joke. [or] It's not that bad. We're <u>just</u> having fun. 	 <u>Nobody</u> got hurt. What's the <u>big</u> deal?
Rationalizing or Making Excuses	 This wasn't ever an issue before now. I said/did because I didn't even know I did anything. I didn't mean it like that. 	 That's not how things used to be. <u>No one else</u> is saying anything. <u>Others</u>, even <u>you</u>, do the same thing too.
Attacking or Defensive	 <u>You're</u> too sensitive. [or] <u>You're</u> overreacting. <u>You're</u> petty. [or] <u>You're</u> jealous. <u>You</u> are taking it the wrong way. <u>You</u> think you are better than everyone else. <u>You</u> can't take a joke. This is why nobody likes <u>you</u>. 	 It's <u>your fault</u> because What about when <u>you</u> said/did? Why are <u>you</u> so emotional? <u>You're</u> letting your emotions get the better of you. <u>Who</u> told you that? What did <u>they</u> say? What do <u>you</u> have to back that up?









Definition of "False Complaints & False Information" Any person, who in <u>bad faith</u>, <u>knowingly</u> files a false complaint (under the Policy) or provides <u>materially false information</u> is subject to disciplinary action up to and including dismissal or separation from the University.

A determination that a Respondent is not responsible for allegations of Sexual Misconduct does not imply a report, Formal Complaint, or information provided was false. Similarly, a determination that a Respondent is responsible for a policy violation does not imply that a Respondent's statements disclaiming responsibility were false.

THE UNIVERSITY of TEXAS SYSTEM THIRTEEN INSTITUTIONS. UNLIMITED POSSIBILITIE Source: UT System Model Policy for Sexual Misconduct (2021)

Knowingly... (Examples)

Knowingly files a false complaint or provides materially false information...

- Showing intent to deceive;
- A design to induce belief in a falsity or to mislead; or
- Acted with knowledge or awareness of the falsity... and not because of mistake, accident, or some other reasonable reason.

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Understanding Deception

Deception <u>doesn't necessarily equate</u> to someone knowingly filing a false complaint or providing materially false information.

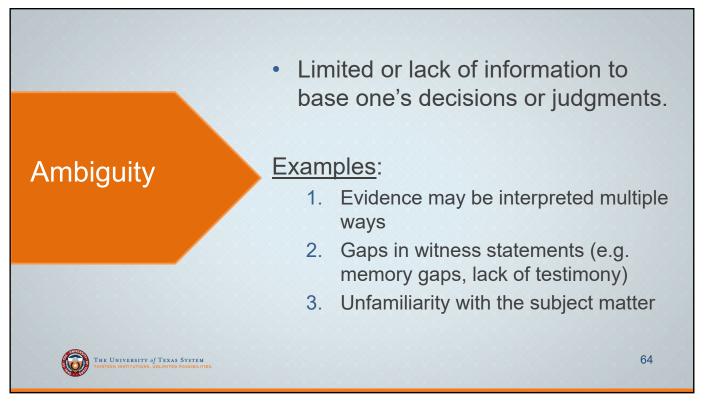
Lack of open information-sharing may result from: uneasiness or uncertainty of the grievance process, distrust of University officials, lack of rapport, or deceptive intent, among other possible reasons.

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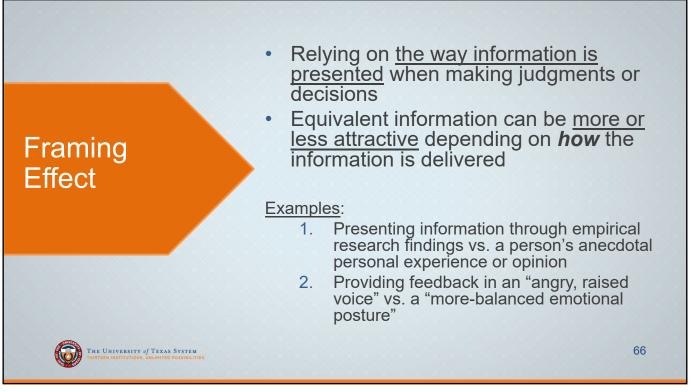
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Detecting	Possible	Deception ((Examples)	

Non-Verbal Elements	Verbal Elements
Little to no eye contact Touching face frequently Twitching Shaking Folding or crossing arms Turning to the side	Falsities Omissions Minimization Text bridges Ambiguous statements Broad statements Answers question with a question Does not answer the question asked Disjointed or inconsistent answers
	ber: There may be <u>other plausible reasons</u> a person esent with these types of characteristics too.



Statement Gaps or Ambiguities Revisited			
Туре	Example Statements		Interview Approaches
Text Bridges	 After that The next thing I knew Later on 	AfterwardsBesidesAnd thenFinally	Clarification prompt
Ambiguous Responses	Kind ofI thinkSort ofI believe	 To the best of my knowledge I may have Maybe 	Clarification or recall prompt
Broad Statements	Always	Everyone	Clarification prompt; specific examples?
Hearsay	I heard from Person X that		Clarification prompt; personal knowledge or first-hand experience?





Contact	Information
Contact	mormation

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